



Receipt

PATENT APPLICATION  
Attorney Docket No.: A-67207-2/DJB/RMS/DCF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WALT, et al.

Serial No.: 09/287,573

Filed: April 6, 1999

For: SELF-ENCODING SENSOR  
WITH MICROSPHERES

Examiner: G. Gabel

Group Art Unit: 1641

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's, Customer Service Center, Assistant Secretary and Commissioner of Patent and Trademarks, Washington, D.C. 20231 on

Date

Signature: Joe Valm

SECOND REQUEST FOR CORRECTED  
OFFICIAL FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Assistant Secretary and  
Commissioner of Patent and Trademarks  
Washington, D.C. 20231

RECEIVED  
JAN 16 2002  
TECH CENTER 1600/2900

Sir:

Appended hereto is a copy of the Corrected Official Filing Receipt for the above-identified patent application with the correction indicated in red ink.

In the "Domestic Priority data as claimed by applicant", change "08/994,850 12/19/1997" to --08/944,850 10/6/1997--.

Because this error was caused by the Patent Office, it is believed that no fee is necessary. However, if this belief is in error, the Commissioner is authorized to charge any fee which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-67207-2/DJB/RMS/DCF/SRN).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

David C. Foster

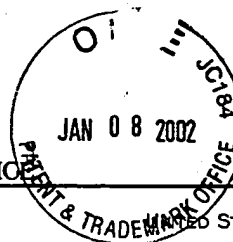
David C. Foster [Reg No. 44,685] for  
Robin M. Silva [Reg No. 38,304]

Date: November 13, 2001

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/287,573	04/06/1999	1641	1154	A67207- 2/DJB	26	26	5

CONFIRMATION NO. 6459

CORRECTED FILING RECEIPT



\*OC000000006253314\*

ROBIN M SILVA  
FLEHR HOHBACH TEST ALBRITTON & HERBERT  
SUITE 3400  
FOUR EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111

Date Mailed: 07/03/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

DAVID R. WALT, LEXINGTON, MA;  
TODD A. DICKINSON, SAN DIEGO, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 08/944,850 10/6/97 PAT 5,920,380

**Foreign Applications**

PCT/US98/21193 10/06/1998

If Required, Foreign Filing License Granted 05/03/1999

CPA filed on: 01/17/2001

Projected Publication Date: 07/05/2001

Non-Publication Request: No

Early Publication Request: No

**Title**

"SELF - ENCODING SENSOR WITH MICROSPHERES "

RECEIVED  
JAN 16 2002  
TECH CENTER 1600/2900

**Preliminary Class**

---

**Data entry by :** DAY, MARIAN

**Team :** OIPE

**Date:** 07/03/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Titl 35, United States Code, Section 184**  
**Title 37, Cod of Fed ral Regulati ns, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 6459

<b>SERIAL NUMBER</b> 09/287,573	<b>FILING DATE</b> 04/06/1999 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1641	<b>ATTORNEY DOCKET NO.</b> A67207-2/DJB	
<b>APPLICANTS</b> DAVID R. WALT, LEXINGTON, MA; TODD A. DICKINSON, SAN DIEGO, CA;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 08/944,850 10/06/1997					
<b>** FOREIGN APPLICATIONS *****</b> PCT/US98/21193 10/06/1998					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b> ** 05/03/1999					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> MA	<b>SHEETS DRAWING</b> 26	<b>TOTAL CLAIMS</b> 26	<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> ROBIN M SILVA FLEHR HOHBACH TEST ALBRITTON & HERBERT SUITE 3400 FOUR EMBARCADERO CENTER SAN FRANCISCO , CA 94111					
<b>TITLE</b> "SELF - ENCODING SENSOR WITH MICROSPHERES "					
<b>FILING FEE RECEIVED</b> 1154	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		